

Attachment A

Requested Forms

NONDISCRIMINATION COMPLIANCE STATEMENT

STD. 19 (REV. 3-95) FMC

COMPANY NAME

Napa Sanitation District

The company named above (hereinafter referred to as "prospective contractor") hereby certifies, unless specifically exempted, compliance with Government Code Section 12990 (a-f) and California Code of Regulations, Title 2, Division 4, Chapter 5 in matters relating to reporting requirements and the development, implementation and maintenance of a Nondiscrimination Program. Prospective contractor agrees not to unlawfully discriminate, harass or allow harassment against any employee or applicant for employment because of sex, race, color, ancestry, religious creed, national origin, disability (including HIV and AIDS), medical condition (cancer), age, marital status, denial of family and medical care leave and denial of pregnancy disability leave.

CERTIFICATION

I, the official named below, hereby swear that I am duly authorized to legally bind the prospective contractor to the above described certification. I am fully aware that this certification, executed on the date and in the county below, is made under penalty of perjury under the laws of the State of California.

OFFICIAL'S NAME

Michael Alexander, General Manager

DATE EXECUTED

April 16, 1999

EXECUTED IN THE COUNTY OF

Napa County

PROSPECTIVE CONTRACTOR'S SIGNATURE

PROSPECTIVE CONTRACTOR'S TITLE

General Manager

PROSPECTIVE CONTRACTOR'S LEGAL BUSINESS NAME

Napa Sanitation District

Attachment E
Terms and Conditions for Federal (Department of Interior) Funds

U.S. Department of the Interior

**Certifications Regarding Debarment, Suspension and
Other Responsibility Matters, Drug-Free Workplace
Requirements and Lobbying**

Persons signing this form should refer to the regulations referenced below for complete instructions:

Certification Regarding Debarment, Suspension, and Other Responsibility Matters - Primary Covered Transactions - The prospective primary participant further agrees by submitting this proposal that it will include the clause titled, "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - Lower Tier Covered Transaction," provided by the department or agency entering into this covered transaction, without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions. See below for language to be used; use this form for certification and sign; or use Department of the Interior Form 1954 (DI-1954). (See Appendix A of Subpart D of 43 CFR Part 12.)

Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - Lower Tier Covered Transactions - (See Appendix B of Subpart D of 43 CFR Part 12.)

Certification Regarding Drug-Free Workplace Requirements - Alternate I. (Grantees Other Than Individuals) and Alternate II. (Grantees Who are Individuals) - (See Appendix C of Subpart D of 43 CFR Part 12)

Signature on this form provides for compliance with certification requirements under 43 CFR Parts 12 and 18. The certifications shall be treated as a material representation of fact upon which reliance will be placed when the Department of the Interior determines to award the covered transaction, grant, cooperative agreement or loan.

**PART A: Certification Regarding Debarment, Suspension, and Other Responsibility Matters -
Primary Covered Transactions**

CHECK ☒ IF THIS CERTIFICATION IS FOR A PRIMARY COVERED TRANSACTION AND IS APPLICABLE.

- (1) The prospective primary participant certifies to the best of its knowledge and belief, that it and its principals:
- (a) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency;
 - (b) Have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
 - (c) Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (1)(b) of this certification; and
 - (d) Have not within a three-year period preceding this application/proposal had one or more public transactions (Federal, State or local) terminated for cause or default.
- (2) Where the prospective primary participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

**PART B: Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion -
Lower Tier Covered Transactions**

CHECK ☒ IF THIS CERTIFICATION IS FOR A LOWER TIER COVERED TRANSACTION AND IS APPLICABLE.

- (1) The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.
- (2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

Agreement No. _____

Exhibit _____

NONCOLLUSION AFFIDAVIT TO BE EXECUTED BY
BIDDER AND SUBMITTED WITH BID FOR PUBLIC WORKS

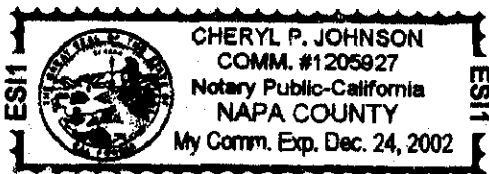
STATE OF CALIFORNIA)
)ss
COUNTY OF Napa)

Michael Alexander, being first duly sworn, deposes and says that he or she is General Manager of Napa Sanitation District (the bidder)

the party making the foregoing bid that the bid is not made in the interest of, or on behalf of, any undisclosed person, partnership, company, association, organization, or corporation; that the bid is genuine and not collusive or sham; that the bidder has not directly or indirectly induced or solicited any other bidder to put in a false sham bid, and has not directly or indirectly colluded, conspired, connived, or agreed with any bidder or anyone else to put in a sham bid, or that anyone shall refrain from bidding; that the bidder has not in any manner, directly or indirectly, sought by agreement, communication, or conference with anyone to fix the bid price of the bidder or any other bidder, or to fix any overhead, profit, or cost element of the bid price, or of that of any other bidder, or to secure any advantage against the public body awarding the contract of anyone interested in the proposed contract; that all statements contained in the bid are true; and, further, that the bidder has not, directly or indirectly, submitted his or her bid price or any breakdown thereof, or the contents thereof, or divulged information or data relative thereto, or paid, and will not pay, any fee to any corporation, partnership, company, association, organization, bid depository, or to any member or agent thereof to effectuate a collusive or sham bid.

DATED:

By [Signature]
(person signing for bidder)



Subscribed and sworn to before me on

April 15, 1999

Cheyl P. Johnson
(Notary Public)

(Notarial Seal)

PART C: Certification Regarding Drug-Free Workplace Requirements

CHECK ☒ IF THIS CERTIFICATION IS FOR AN APPLICANT WHO IS NOT AN INDIVIDUAL.

Alternate I. (Grantees Other Than Individuals)

A. The grantee certifies that it will or continue to provide a drug-free workplace by:

- (a) Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
- (b) Establishing an ongoing drug-free awareness program to inform employees about--
 - (1) The dangers of drug abuse in the workplace;
 - (2) The grantee's policy of maintaining a drug-free workplace;
 - (3) Any available drug counseling, rehabilitation, and employee assistance programs; and
 - (4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
- (c) Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph (a);
- (d) Notifying the employee in the statement required by paragraph (a) that, as a condition of employment under the grant, the employee will --
 - (1) Abide by the terms of the statement; and
 - (2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;
- (e) Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph (d)(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification numbers(s) of each affected grant;
- (f) Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph (d)(2), with respect to any employee who is so convicted --
 - (1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
 - (2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;
- (g) Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs (a) (b), (c), (d), (e) and (f).

B. The grantee may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant:

Place of Performance (Street address, city, county, state, zip code)

Napa Sanitation District

P.O. Box 2480

Napa, CA 94558 (Napa County)

Check ☐ if there are workplaces on file that are not identified here.

Within Napa River/San Pablo Bay watershed and California Department of Fish and Game
Napa-Sonoma Marsh Wildlife Area located above San Pablo Bay between Napa River and Sonoma

PART D: Certification Regarding Drug-Free Workplace Requirements

Creek

CHECK ☐ IF THIS CERTIFICATION IS FOR AN APPLICANT WHO IS AN INDIVIDUAL.

Alternate II. (Grantees Who Are Individuals)

- (a) The grantee certifies that, as a condition of the grant, he or she will not engage in the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance in conducting any activity with the grant;
- (b) If convicted of a criminal drug offense resulting from a violation occurring during the conduct of any grant activity, he or she will report the conviction, in writing, within 10 calendar days of the conviction, to the grant officer or other designee, unless the Federal agency designates a central point for the receipt of such notices. When notice is made to such a central point, it shall include the identification number(s) of each affected grant.

PART E: Certification Regarding Lobbying
Certification for Contracts, Grants, Loans, and Cooperative Agreements

CHECK ☒ IF CERTIFICATION IS FOR THE AWARD OF ANY OF THE FOLLOWING AND THE AMOUNT EXCEEDS \$100,000: A FEDERAL GRANT OR COOPERATIVE AGREEMENT; SUBCONTRACT, OR SUBGRANT UNDER THE GRANT OR COOPERATIVE AGREEMENT.

CHECK ☐ IF CERTIFICATION IS FOR THE AWARD OF A FEDERAL LOAN EXCEEDING THE AMOUNT OF \$150,000, OR A SUBGRANT OR SUBCONTRACT EXCEEDING \$100,000, UNDER THE LOAN.

The undersigned certifies, to the best of his or her knowledge and belief, that:

- (1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, and officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
- (2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.
- (3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

As the authorized certifying official, I hereby certify that the above specified certifications are true.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL




TYPED NAME AND TITLE Micahel Alexander, General Manager

DATE April 16, 1999

APPLICATION FOR FEDERAL ASSISTANCE

OMB Approval No. 0348-0043

| | | | | | | | | | | | | | | | | | | | | | |
|---|--|--|--|--|--|----------------------|--|----------|-----------------------------|-----------|--|--------------|-----------------------|-------------|-----------------|---------------|---------------|-------------------|------------------------|---------------------|--------------------------|
| 1. TYPE OF SUBMISSION: Application <input type="checkbox"/> Construction <input checked="" type="checkbox"/> Non-Construction Preapplication <input type="checkbox"/> Construction <input type="checkbox"/> Non-Construction | | 2. DATE SUBMITTED April 16, 1999 | | Applicant Identifier | | | | | | | | | | | | | | | | | |
| | | 3. DATE RECEIVED BY STATE | | State Application Identifier | | | | | | | | | | | | | | | | | |
| | | 4. DATE RECEIVED BY FEDERAL AGENCY | | Federal Identifier | | | | | | | | | | | | | | | | | |
| 5. APPLICANT INFORMATION Legal Name: <u>Napa Sanitation District</u> Address (give city, county, State, and zip code): P.O. Box 2480 Napa, California 94558 Napa County | | | | | | Organizational Unit: | | | | | | | | | | | | | | | |
| 6. EMPLOYER IDENTIFICATION NUMBER (EIN): 94 - 6050046 | | | | 7. TYPE OF APPLICANT: (enter appropriate letter in box) <table style="width:100%;"> <tr> <td>A. State</td> <td>H. Independent School Dist.</td> </tr> <tr> <td>B. County</td> <td>I. State Controlled Institution of Higher Learning</td> </tr> <tr> <td>C. Municipal</td> <td>J. Private University</td> </tr> <tr> <td>D. Township</td> <td>K. Indian Tribe</td> </tr> <tr> <td>E. Interstate</td> <td>L. Individual</td> </tr> <tr> <td>F. Intermunicipal</td> <td>M. Profit Organization</td> </tr> <tr> <td>G. Special District</td> <td>N. Other (Specify) _____</td> </tr> </table> | | | | A. State | H. Independent School Dist. | B. County | I. State Controlled Institution of Higher Learning | C. Municipal | J. Private University | D. Township | K. Indian Tribe | E. Interstate | L. Individual | F. Intermunicipal | M. Profit Organization | G. Special District | N. Other (Specify) _____ |
| A. State | H. Independent School Dist. | | | | | | | | | | | | | | | | | | | | |
| B. County | I. State Controlled Institution of Higher Learning | | | | | | | | | | | | | | | | | | | | |
| C. Municipal | J. Private University | | | | | | | | | | | | | | | | | | | | |
| D. Township | K. Indian Tribe | | | | | | | | | | | | | | | | | | | | |
| E. Interstate | L. Individual | | | | | | | | | | | | | | | | | | | | |
| F. Intermunicipal | M. Profit Organization | | | | | | | | | | | | | | | | | | | | |
| G. Special District | N. Other (Specify) _____ | | | | | | | | | | | | | | | | | | | | |
| 8. TYPE OF APPLICATION: <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision If Revision, enter appropriate letter(s) in box(es) <input type="checkbox"/> <input type="checkbox"/> A. Increase Award B. Decrease Award C. Increase Duration D. Decrease Duration Other(specify): _____ | | | | 9. NAME OF FEDERAL AGENCY: | | | | | | | | | | | | | | | | | |
| 10. CATALOG OF FEDERAL DOMESTIC ASSISTANCE NUMBER: TITLE: N/A | | | | 11. DESCRIPTIVE TITLE OF APPLICANT'S PROJECT: Napa Salt Pond Restoration/Water Supply Project | | | | | | | | | | | | | | | | | |
| 12. AREAS AFFECTED BY PROJECT (Cities, Counties, States, etc.): Napa, Napa County, Sonoma County, California | | | | | | | | | | | | | | | | | | | | | |
| 13. PROPOSED PROJECT Start Date: 9/99 Ending Date: 1/01 | | 14. CONGRESSIONAL DISTRICTS OF: a. Applicant: 1 b. Project: 1 | | | | | | | | | | | | | | | | | | | |
| 15. ESTIMATED FUNDING: | | 16. IS APPLICATION SUBJECT TO REVIEW BY STATE EXECUTIVE ORDER 12372 PROCESS? | | | | | | | | | | | | | | | | | | | |
| a. Federal \$.00 b. Applicant Napa Sanitation District \$ 65,000 c. State \$.00 d. Local Sonoma Valley County Sanitation District \$ 65,000 e. Other CALFED \$ 355,000 f. Program Income \$.00 g. TOTAL \$.00 | | a. YES. THIS PREAPPLICATION/APPLICATION WAS MADE AVAILABLE TO THE STATE EXECUTIVE ORDER 12372 PROCESS FOR REVIEW ON: DATE _____ b. No. <input type="checkbox"/> PROGRAM IS NOT COVERED BY E. O. 12372 <input type="checkbox"/> OR PROGRAM HAS NOT BEEN SELECTED BY STATE FOR REVIEW | | | | | | | | | | | | | | | | | | | |
| | | 17. IS THE APPLICANT DELINQUENT ON ANY FEDERAL DEBT? <input type="checkbox"/> Yes If "Yes," attach an explanation. <input checked="" type="checkbox"/> No | | | | | | | | | | | | | | | | | | | |
| 18. TO THE BEST OF MY KNOWLEDGE AND BELIEF, ALL DATA IN THIS APPLICATION/PREAPPLICATION ARE TRUE AND CORRECT, THE DOCUMENT HAS BEEN DULY AUTHORIZED BY THE GOVERNING BODY OF THE APPLICANT AND THE APPLICANT WILL COMPLY WITH THE ATTACHED ASSURANCES IF THE ASSISTANCE IS AWARDED. | | | | | | | | | | | | | | | | | | | | | |
| a. Type Name of Authorized Representative Michael Alexander | | b. Title General Manager | | c. Telephone Number (707) 258-6000 | | | | | | | | | | | | | | | | | |
| d. Signature of Authorized Representative  | | | | e. Date Signed April 16, 1999 | | | | | | | | | | | | | | | | | |

BUDGET INFORMATION - Non-Construction Programs**SECTION A - BUDGET SUMMARY**

| Grant Program Function or Activity (a) | Catalog of Federal Domestic Assistance Number (b) | Estimated Unobligated Funds | | New or Revised Budget | | |
|---|--|-----------------------------|--------------------|-----------------------|--------------------|--------------|
| | | Federal (c) | Non-Federal (d) | Federal (e) | Non-Federal (f) | Total (g) |
| 1. CALFED | -- | \$ 355,000 | \$ | \$ | \$ | \$ 355,000 |
| 2. NSD/SVCSD | -- | | 130,000 | | | 130,000 |
| 3. | | | | | | |
| 4. | | | | | | |
| 5. Totals | | \$ 355,000 | \$ 130,000 | \$ | \$ | \$ 485,000 |

SECTION B - BUDGET CATEGORIES

| 6. Object Class Categories | GRANT PROGRAM, FUNCTION OR ACTIVITY | | | | Total (5) |
|--|-------------------------------------|---------------|-----|-----|--------------|
| | (1) CALFED | (2) NSD/SVCSD | (3) | (4) | |
| a. Personnel | \$ | \$ 130,000 | \$ | \$ | \$ 130,000 |
| b. Fringe Benefits | | | | | |
| c. Travel | | | | | |
| d. Equipment | | | | | |
| e. Supplies | | | | | |
| f. Contractual | | | | | |
| g. Construction | | | | | |
| h. Other | 355,000 | | | | 355,000 |
| i. Total Direct Charges (sum of 6a-6h) | | | | | |
| j. Indirect Charges | | | | | |
| k. TOTALS (sum of 6i and 6j) | \$ 355,000 | \$ 130,000 | \$ | \$ | \$ 485,000 |
| 7. Program Income | \$ 0 | \$ 0 | \$ | \$ | \$ 0 |

| SECTION C - NON-FEDERAL RESOURCES | | | | |
|-----------------------------------|---------------|-----------|-------------------|------------|
| (a) Grant Program | (b) Applicant | (c) State | (d) Other Sources | (e) TOTALS |
| 8. NSD/SVCSD | \$ 130,000 | \$ | \$ | \$ 130,000 |
| 9. | | | | |
| 10. | | | | |
| 11. | | | | |
| 12. TOTAL (sum of lines 8 - 11) | \$ 130,000 | \$ | \$ | \$ 130,000 |

| SECTION D - FORECASTED CASH NEEDS | | | | | |
|------------------------------------|--------------------|-------------|-------------|-------------|-------------|
| | Total for 1st Year | 1st Quarter | 2nd Quarter | 3rd Quarter | 4th Quarter |
| 13. Federal | \$ 355,000 | \$ 80,000 | \$ 80,000 | \$ 80,000 | \$ 115,000 |
| 14. NonFederal | 130,000 | 35,000 | 35,000 | 35,000 | 25,000 |
| 15. TOTAL (sum of lines 13 and 14) | 485,000 | 115,000 | 115,000 | 115,000 | 140,000 |

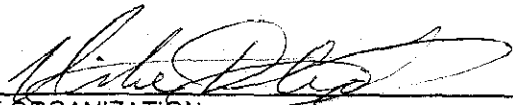
| SECTION E - BUDGET ESTIMATES OF FEDERAL FUNDS NEEDED FOR BALANCE OF THE PROJECT | | | | |
|---|--------------------------------|------------|-----------|------------|
| (a) Grant Program | FUTURE FUNDING PERIODS (Years) | | | |
| | (b) First | (c) Second | (d) Third | (e) Fourth |
| 16. N/A | \$ | \$ | \$ | \$ |
| 17. | | | | |
| 18. | | | | |
| 19. | | | | |
| 20. TOTAL (sum of lines 16-19) | \$ | \$ | \$ | \$ |

| SECTION F - OTHER BUDGET INFORMATION | |
|--------------------------------------|-----------------------|
| 21. Direct Charges: | 22. Indirect Charges: |
| 23. Remarks: | |

9. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333), regarding labor standards for federally-assisted construction subagreements.
10. Will comply, if applicable, with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
11. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
12. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
13. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
14. Will comply with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.
15. Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 89-544, as amended, 7 U.S.C. §§2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.
16. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
17. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
18. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL

TITLE



Michael Alexander, General Manager

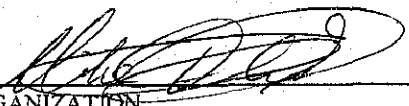
APPLICANT ORGANIZATION

DATE SUBMITTED

Napa Sanitation District

April 12, 1999

11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provides for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. Secs. 1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. Secs. 276a to 276a - 7), the Copeland Act (40 U.S.C. Secs. 276c and 18 U.S.C. Sec. 874), the Contract Work Hours and Safety Standards Act (40 U.S.C. Secs. 327-333), regarding labor standards for federally assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. Secs. 1451 et seq.); (f) conformity of Federal actions to State (Clear Air) Implementation Plans under Section 176(c) of the Clear Air Act of 1955, as amended (42 U.S.C. Secs. 7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended, (P.L. 93-523); and (h) protection of endangered species under the Endangered Species Act of 1973, as amended, (P.L. 93-205).
16. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. Secs. 1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. Sec. 470), EO 11593 (identification and preservation of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. 469a-1 et seq.).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act of 1984.
19. Will comply with all applicable requirements of all other Federal laws, Executive Orders, regulations and policies governing this program.

| | | |
|--|---|----------------------------------|
| SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL  | TITLE Michael Alexander, General Manager | |
| APPLICANT ORGANIZATION Napa Sanitation District | | DATE SUBMITTED April 12, 1999 |

Attachment B

Letters of Support

BARBARA BOXER
CALIFORNIA

COMMITTEES:
APPROPRIATIONS
BANKING, HOUSING, AND
URBAN AFFAIRS
BUDGET
ENVIRONMENT
AND PUBLIC WORKS

United States Senate

HART SENATE OFFICE BUILDING
SUITE 112
WASHINGTON, DC 20510-0505
(202) 224-3333
SENBOX@SENATE.SEN.GOV
http://www.senate.gov/~boxer

July 25, 1997

Kate Hansel
CALFED Bay-Delta Program
1416 9th Street, #1155
Sacramento, CA 95814

Dear Ms. Hansel:

I am writing in support of the Sonoma County Water Agency's application for CALFED Bay-Delta funding.

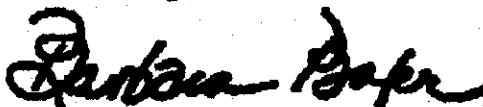
I understand that the five proposed projects would create significant environmental benefits while improving the quality of life for Sonoma County residents.

These important restoration efforts are designed to provide critical improvements to water quality, protect and restore the ecosystem by helping sustain diverse and valuable plant and animal species, and facilitate wetlands restoration. More specifically, the Sonoma County Water Agency plans to upgrade wastewater treatment centers to meet tertiary-treatment levels, reduce discharges of treated wastewater to San Pablo Bay, provide recycled water to local agriculture, supply an alternative to freshwater use for wetland restoration, and off-set freshwater diversions in the San Antonio Creek Watershed.

CALFED funding is important to the advancement of these worthy projects. I urge you to give Sonoma County Water Agency's application your most serious consideration. If you have any questions, please contact Gia Daniller in my San Francisco office at 415-403-0113.

Thank you for your attention to this matter.

Sincerely,



Barbara Boxer
United States Senator

BB/gd/jls

1700 MONTGOMERY STREET
SUITE 240
SAN FRANCISCO, CA 94111
(415) 403-0100

2200 EAST IMPERIAL HIGHWAY
SUITE 100
EL SEGUNDO, CA 90245
(310) 414-0700

400 CAPITOL MALL
SUITE 604
SACRAMENTO, CA 95814
(916) 445-2707

2200 TULANE STREET
SUITE 130
FRESNO, CA 93721
(209) 450-5100

525 S STREET
SUITE 200
SAN DIEGO, CA 92101
(619) 233-2800

210 NORTH E STREET
SUITE 210
SAN BERNARDINO, CA 92401
(909) 880-4535

Richard Charter

6947 Cliff Avenue, Bodega Bay, CA 94923
(707)875-3482 (707)875-2345 fax (707)875-2947

July 22, 1997

CALFED Bay-Delta Program
1416 Ninth Street, Suite 1155
Sacramento, CA 95814

To Whom It May Concern:

I am writing in support of a grant proposal by the Sonoma County Water Agency for a recycled water distribution pipeline connecting the City of Petaluma and the City of Santa Rosa Subregional Treatment Plants. It is clear that this project could facilitate the restoration of degraded bayfront wetland habitat at the Cargill site and would also provide a very significant contribution to the utilization of treated wastewater for agricultural irrigation and for other constructive purposes.

I have been a direct participant in the restoration of tidal wetlands at the Sonoma Baylands Project and the Petaluma River Tidal Marsh Restoration Project during my former tenure as Executive Director of the Sonoma Land Trust. I appreciate the complexity of habitat restoration projects and the challenges faced by agencies seeking to carry out such projects, particularly when it comes to securing an allocation of fresh water in a water-scarce region.

My support is contingent upon thorough environmental review of the proposed project and the concurrence of all relevant regulatory agencies that the project would enhance the health of San Francisco Bay.

Sincerely,

Richard Charter

Richard Charter

July 22, 1997

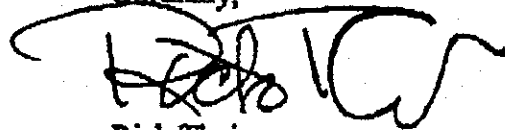
CALFED Bay Delta Program
1416 Ninth St., Suite 1155
Sacramento, CA 95814

RE: Sonoma County Water Agency Fund Requests

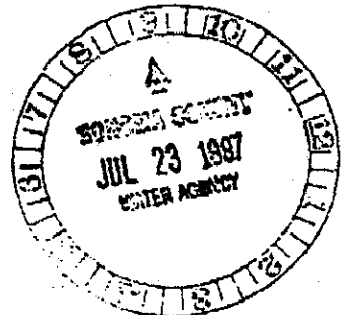
The Sonoma County Grape Growers Association urges you to support the five major restoration planning efforts by the Sonoma County Water Agency. All projects will have a beneficial effect on the Sonoma County environment. These projects will significantly improve habitat for fisheries, migratory waterfowl, shorebirds and wading birds in the Bay Area. A healthy wildlife habitat is important to achieve a sustainable Bay Area where agriculture can thrive. Also, one of the projects may potentially benefit agriculture in the Lakeville area, which we strongly support.

Thank you for your consideration.

Cordially,



Rick Theis
Executive Director



SONOMA COUNTY CONSERVATION ACTION

540 Pacific Avenue, Santa Rosa, CA 95404

Phone: (707) 571-8566 • FAX: (707) 575-890

Tuesday, July 22, 1997

Board of Directors

Bill Kortum, Chair
Sheri Cardo
Richard Day
Una Glass
Kate Sater
Jerry Waxman

Advisory Board

Paula Blaydes
Fred Beeman
Clifton Buck-Kauffman
Richard Dale
Juliana Doms
George Ellman
Richard Gaines
John Garn
Martin Griffin
Dian Hardy
Allen James
Shannan Johnson
Gail Jonas
Helen Libeu
Robert Pierpoint
Liza Prunuske
Krista Rector
Kandi Robbins
Marty Roberts
Anne Seeley
Leana Sims
Jim Sullivan
Len Swenson
Michael Symons
Herbert Terreri
Joan Vilms
Jim Winston
Suzanne Whipple
Jody Young

Technical Advisors

Paula Blaydes
Tim Haddad
Ned Ocret
Liza Prunuske
Krista Rector
Rick Theis

Executive Director

Mark Green

Program Director

Joelle Goncalves

FPPC ID #911196

Randy Poole
General Manager
Sonoma County Water Agency
2150 West College Ave.
Santa Rosa, CA 95401

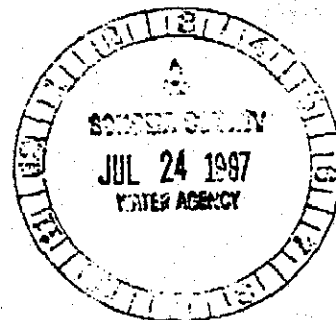
Dear Randy:

I am writing on behalf of Sonoma County Conservation Action, the county's largest conservation organization with more than 7,500 member households in Sonoma County. Conservation Action organizers personally contact 50,000 households per year, which provides us with a clear sense of the local political pulse.

We are writing in reference to the application for Cal/Fed grant funding by the Sonoma County Water Agency for proposed wastewater pipeline projects which would serve to provide irrigation with tertiary-treated wastewater to agriculture in southern Sonoma County and to flush the Cargill salt pond site in southern Napa County with overflow wastewater for purposes of restoring the Cargill site as a functioning bay wetland.

Conservation Action supports the Agency's application for Cal/Fed funding for the southern Sonoma County project, for the following reasons and subject to the caveats listed on the following page:

- Tertiary treated wastewater is a high-quality resource developed at great cost by the communities of our county.
- Local agriculture should benefit from the use of this water rather than demanding more withdrawal of fresh water from the Russian River.
- A vital agricultural economy is the best defense against urban encroachment into the world-class agricultural lands of Sonoma County.
- In light of the historical eradication of 90% of San Francisco Bay's wetlands, the restoration of 10,000 acres of bay wetlands at the Cargill site would constitute a major step forward in enhancing the biological health of the Bay.



Conservation Action's tentative endorsement of this project is subject to the following conditions:

- That the net environmental impacts of the proposed projects be thoroughly studied and that all appropriate regulatory agencies agree that the project would enhance the health of land and waterways in Sonoma County and of San Francisco Bay ecosystems.
- That the Sonoma County Water Agency adopts policies which commit the Agency to principles of stewardship and environmental responsibility in managing its reclaimed water collection and distribution systems.
- That the Agency commit to creating permanent mechanisms, such as advisory committees, through which the local environmental community will have greater access to information about the activities of the Agency and greater input into the decision-making of the Agency.

If these criteria are agreed to by the Sonoma County Water Agency, Sonoma County Conservation Action supports SCWA's application for Cal/ Fed grant funding for the Cargill project.

Please contact my office if there are questions.

Sincerely,



Mark Green
Executive Director



Madrone Audubon Society
INCORPORATED

July 22, 1997

CALFED
1416 9th Street #1155
Sacramento, CA 95814

Re: Bay Delta Program
Sonoma County Water Agency

Dear Sir or Madam:

The Madrone Audubon Society, a local chapter of the National Audubon Society, expresses its support for a CALFED grant for the Napa-Sonoma Marsh Wildlife Project proposed by the Sonoma County Water Agency.

This project would enable millions of gallons of tertiary treated wastewater from the Laguna Subregional Wastewater Treatment Plant to be piped to the former Cargill Salt Ponds in order to de-salinize the ponds so that they may be used for wildlife habitat. Madrone Audubon supports the concept of re-use of wastewater because it furthers the laudable goal of the Clean Water Act to prevent outfall to our natural waterways while at the same time reducing the strain on natural water sources. Madrone Audubon Society also strongly favors restoring former wetlands to their original state as we have lost far too many acres of wetlands to development and agriculture. Another potential benefit from this project is that it may encourage the City of Santa Rosa to opt for a re-use method, rather than discharge into the Russian River, when it determines which wastewater disposal option it will choose later this year. The project, as proposed by the Water Agency, is truly a win-win situation.

The support of Madrone Audubon is premised upon the understanding that there will be a significant and direct environmental benefit from the project. We urge CALFED to approve the grant request of the Water Agency but with the proviso that the capital improvement that results from the grant continue to be used in a way that is of primary benefit to the environment.

Thank you for your consideration of our position in this important issue.

Very truly yours,

Dan Kahane

Dan Kahane, Vice-President



North Bay Chapter, 632 Fifth Street, Santa Rosa, CA 95402

July 22, 1997

CALFED Bay-Delta Program
1416 Ninth Street Suite 1155
Sacramento, CA 95814

Dear CAL-FED Bay-Delta Program:

This letter is to confirm Trout Unlimited's support for the Sonoma County Water Agency proposal to reuse reclaimed water from the Santa Rosa Subregional Treatment plant for restoration of Bay Wetlands at the Cargill Salt Ponds.

Trout Unlimited is a cold water fishery conservation organization with 95,000 members internationally and 1,100 members in the North Bay Chapter. Our membership is particularly concerned about the Coho Salmon and Rainbow Steelhead Trout fisheries of the Russian River and opposes any further degradation of the Laguna de Santa Rosa (an Impaired Waterway), Mark West Creek, and the Russian River by resource wasteful waste water discharges to threatened and endangered salmonid habitat.

A program to reuse the Subregional plant's reclaimed water for restoration of Bay Wetlands is the type of proposal we can support that will actually use this valuable water resource for environmental enhancement rather than waste over 8 billion gallons of water annually discharging it to the once thriving salmonid habitat of the Russian River.

We urge CALFED to approve funding for the upgrading of the Sonoma Valley and Petaluma treatment plants to tertiary treatment and restoring 8,000 acres of Cargill salt pond to important wetland and fishery nursery habitat by providing a pipeline from Santa Rosa's Subregional treatment plant to the Petaluma Plant and the Sonoma Valley plant to the Cargill salt ponds. This pipeline will also allow for North Bay agricultural economic development by reuse of the nutrient-rich water along the pipeline's route.

Trout Unlimited would be pleased to be represented on a citizen advisory committee to the Sonoma County Water Agency to help in the implementation of this project and restoration work planned in the North Bay and Russian River watersheds.

Sincerely,
TROUT UNLIMITED

R. Brian Hines
Board of Directors
North Bay Chapter

Sincerely,
TROUT UNLIMITED

Mike Swaney
Conservation Chairman
California State Council

cc: Stan Griffin, Regional VP